

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 09/995,698 Confirmation No.: 2615  
1st Inventor: Andrew C. Gilbert  
Title: LINKING BIDS AND OFFERS IN A TRADING SYSTEM  
Filed: November 29, 2001  
Art Unit: 3691  
Examiner: Hani Kazimi  
  
Atty. Docket: 00-1027  
Customer No. 64558

**RESPONSE TO NON-COMPLIANT AMENDMENT & RESUBMISSION  
OF REPLY TO OFFICE ACTION**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the Notice of Non-Compliant Amendment mailed April 20, 2007,  
Applicant submits herewith clean and marked-up copies of the substitute specification. Status  
identifiers of claims 10 and 11 have been amended to comport with the rules.

Applicant respectfully requests consideration of this amendment which is timely filed.  
Kindly amend the application as follows.

**AMENDMENTS TO THE SPECIFICATION** begin on page 2 of this paper.

**AMENDMENTS TO THE CLAIMS** begin on page 3 of this paper.

**REMARKS/ARGUMENTS** begin on page 12 of this paper.